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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

5603USA

First Inventor

WEAVER, CHANA L.

Title

SYSTEM AND METHOD FOR CATEGORY MANAGEMENT  
ANALYSIS

Express Mail Label No.

EJ491998165US

## APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages: 48]  
(preferred arrangement set forth below)

-Descriptive title of the invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R & D  
-Reference to sequence listing, a table,  
or a computer program listing appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (if filed)  
-Detailed Description  
-Claim(s)  
-Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Pages: 13]

5. Oath or Declaration (UNSIGNED) [Total Pages: 3]

- a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b)

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)  
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents(s))  
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)  
11. ☐ English Translation Document (if applicable)  
12. ☐ Information Disclosure ☐ Copies of IDS Citations  
Statement (IDS)/PTO-1449  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.  
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. COMPLETE CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert Customer No. or attach bar code label here)

or ☐ Correspondence address below

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Michael C. Maier

Registration No. (Attorney/Agent)

36,206

Signature

Date

11-1-01

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	N/A
Filing Date	
First Named Inventor	WEAVER CHANA L
Examiner Name	
Group Art Unit	
Attorney Docket No.	5603USA

**TOTAL AMOUNT OF PAYMENT** (\$)992.00**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
 Deposit Account Number **07-0900**  
 Deposit Account Name **General Mills, Inc.**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant Claims small entity status. See 37 CFR 1.27

2. ☐ **Payment Enclosed:**

- ☐ Check ☐ Credit Card ☐ Money ☐ Other  
 Order

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Small Entity Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	201	740	370	Utility filing fee	740.00
106	206	330	165	Design filing fee	
107	207	510	255	Plant filing fee	
108	208	740	370	Reissue filing fee	
114	214	160	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$)<u>740.00</u></b>

**2. EXTRA CLAIM FEES**


		Extra Claims		Fee from below	Fee Paid
Total Claims	14-20**	= 0	x	= -0-	
Indep. Claims	6-3**	= 3	x 84	= 252.00	
Multiple Dependent				= -0-	
Large Entity Code	Small Entity Code	Fee (\$)	Fee (\$)	Fee Description	
103	203	18	9	Claims in excess of 20	
102	202	84	42	Independent claims in excess of 3	
104	204	280	140	Multiple dependent claim, if not paid	
109	209	84	42	** Reissue independent claims over original patent	
110	210	18	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$)<u>992.00</u></b>

\*\*or number previously paid, if greater, For Reissues, see above.

**FEE CALCULATION (continued)**

3. ADDITIONAL FEES					Fee Description	Fee Paid
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2520	147	2520		For filing a request for ex parte reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	400	216	200		Extension for reply within second month	
117	920	217	460		Extension for reply within third month	
118	1440	218	720		Extension for reply within fourth month	
128	1960	228	980		Extension for reply within fifth month	
119	310	220	155		Notice of Appeal	
120	310	220	155		Filing a brief in support of an appeal	
121	270	221	135		Request for oral hearing	
138	1510	138	1510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1240	241	620		Petition to revive - unintentional	
142	1280	242	620		Utility issue fee (or reissue)	
143	440	243	220		Design issue fee	
144	600	244	300		Plant issue fee	
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129 (a))	
149	710	246	355		For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	
					Advance Copies	
Other fee (specify) _____						
*Reduced by Basic Filing Fee Paid					<b>SUBTOTAL (3)</b>	<b>(\$)</b>

**SUBMITTED BY**

Name (Print/Type)	Michael C. Maier	Registration No	36,206	Telephone	763-764-2265
Signature		(Attorney/Agent)		Date	11-1-01

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